

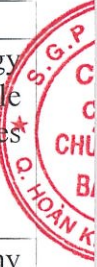


APPENDIX 01

COMPARISON TABLE BETWEEN THE 14TH AMENDED CHARTER AND THE 15TH AMENDED CHARTER OF BVSC

(Attached to Proposal No: 09/2026/TTr-HĐQT dated 27/05/2026 Re: Approval of the 15th amendment of the Charter, Internal Regulations on Corporate Governance, and 1st amendment of the Operating Regulations of the Board of Directors of Bao Viet Securities Company)

No.	14TH CHARTER	15TH CHARTER	REASON FOR AMENDMENT
1	<p>Article 1. Interpretation of terms</p> <p>1.1.12 “Dividend” means the net profit paid for each share in cash or other assets</p>	<p>Article 1. Interpretation of terms</p> <p>1.1.12 “Dividend” means the after-tax profit paid for each share in cash or other assets</p>	Amended to unify terminology according to Point a, Clause 1, Article 1 of the amended Law on Enterprises 2025 (“ <i>Amended LOE 2025</i> ”).
2	<p>Article 2. Form, Name, Head Office, Branch, Representative Office, Transaction Office and Operation Duration of the Company</p> <p>2.4.1 The registered head office of the Company is: Address: No. 8 Le Thai To, Hang Trong Ward, Hoan Kiem District, Hanoi City, Vietnam. Telephone: (+84-24) 39288080 Fax: (+84-24) 39289888 Email: info@bvsc.com.vn Website: http://www.bvsc.com.vn</p>	<p>Article 2. Form, Name, Head Office, Branch, Representative Office, Transaction Office and Operation Duration of the Company</p> <p>2.4.1 The registered head office of the Company is: Address: No. 8 Le Thai To, Hoan Kiem Ward, Hanoi City, Vietnam. Telephone: (+84-24) 39288080 Fax: (+84-24) 39289888 Email: info@bvsc.com.vn Website: http://www.bvsc.com.vn</p>	Amended to unify the current company address information after rearranging administrative units, thereby removing district-level information and amending ward-level information.
3	<p>Article 22. Rights and Duties of the General Meeting of Shareholders</p> <p>22.2.20 To approve transactions stipulated under Clause 4, Article 293 of Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government</p>	<p>Article 22. Rights and Duties of the General Meeting of Shareholders</p> <p>22.2.20 To approve transactions stipulated under Clause 4, Article 293 of Decree No. 155/2020/NĐ-CP dated December 31, 2020 of the Government detailing the</p>	Added in accordance with Clause 84, Article 1 of Decree No. 245/2025/NĐ-CP amending Decree 155/2020/NĐ-CP.



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	detailing the implementation of a number of articles of the Law on Securities;	implementation of a number of articles of the Law on Securities, as amended or supplemented from time to time;	
4	<p>Article 23. Authorized representatives</p> <p>23.1.1.2 The written authorization of a representative must contain the following main contents:</p> <ul style="list-style-type: none"> a. Name, enterprise code, address of the head office of the shareholder; b. Number of authorized representatives and corresponding number of shares of each authorized representative; c. Full name, permanent residence address, nationality, number of Citizen Identity Card, Identity Card, Passport or other legal personal identification of each authorized representative; d. Corresponding authorization term of each authorized representative; specifying the starting date of authorization; e. Full name, signature of the legal representative of the shareholder and the authorized representative 	<p>Article 23. Authorized representatives</p> <p>23.1.1.2 The written authorization of a representative must contain the following main contents:</p> <ul style="list-style-type: none"> a. Name, enterprise code, address of the head office of the shareholder; b. Number of authorized representatives and corresponding number of shares of each authorized representative; c. Full name, permanent residence address, nationality, Personal Identification Number, Passport or other legal personal identification of each authorized representative; d. Corresponding authorization term of each authorized representative; specifying the starting date of authorization; e. Full name, signature of the legal representative of the shareholder and the authorized representative 	This content is amended to <i>personal identification number</i> in accordance with Clause 2, Article 2 of Decree No. 245/2025/NĐ-CP.
5	Article 24. Convening the General Meeting of Shareholders	Article 24. Convening the General Meeting of Shareholders	Amended according to Article 139 of the LOE 2020.

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	<p>24.1.2 The Annual General Meeting of Shareholders shall be held within four (04) months from the end of the financial year. At the request of the Board of Directors, the Company may request the State Securities Commission to extend the Annual General Meeting of Shareholders but not exceeding 06 months from the end of the financial year.</p>	<p>24.1.2 The Annual General Meeting of Shareholders shall be held within four (04) months from the end of the financial year. The Board of Directors shall decide to extend the Annual General Meeting of Shareholders in case of necessity, but not exceeding 06 months from the end of the financial year.</p>	
6	<p>Article 24. Convening the General Meeting of Shareholders</p> <p>24.2.3 At the request of a shareholder or a group of shareholders specified in Clause 19.2, Article 19 of this Charter. The request to convene the General Meeting of Shareholders must be in writing and include the following contents: full name, contact address, nationality, legal document number of the individual for individual shareholders; name, enterprise code or legal document number of the organization, address of the head office for institutional shareholders; number of shares and date of share registration of each shareholder, total number of shares of the group of shareholders and ownership percentage in total shares of the company, grounds and reasons for requesting the convening of the General Meeting of Shareholders. The request for convening the meeting must be</p>	<p>Article 24. Convening the General Meeting of Shareholders</p> <p>24.2.3 At the request of a shareholder or a group of shareholders specified in Clause 19.2, Article 19 of this Charter. The request to convene the General Meeting of Shareholders must be in writing and include the following contents: full name, contact address, nationality, legal document number of the individual for individual shareholders; name, enterprise code or legal document number of the organization, address of the head office for institutional shareholders; number of shares and date of share registration of each shareholder, total number of shares of the group of shareholders and ownership percentage in total shares of the company, grounds and reasons for requesting the convening of the General Meeting of Shareholders. The request for convening the meeting must be accompanied by documents and evidence on violations of the Board of Directors, the severity of</p>	<p>Adding the responsibility of the shareholder or group of shareholders to bear full legal responsibility for the accuracy and truthfulness of the documents and evidence provided to the competent authority when requesting the convening of the General Meeting of Shareholders, to unify with Clause 18, Article 1 of the amended LOE 2025.</p>

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	<p>the total Members of the Board of Directors are non-executive Members.</p>		<p>alignment with the actual corporate governance situation of the Company.</p>
<p>9</p>	<p>Article 34. Composition, term and number of Members of the Board of Directors</p> <p>Does not specify in detail the number of non-executive BOD members, only stipulates the principle <i>“The structure of the Board of Directors must ensure a balance among Members with knowledge and experience in law, finance, and securities; it is necessary to ensure a balance between executive Members and non-executive Members, ensuring that at least one-third (1/3) of the total Members of the Board of Directors are non-executive Members.”</i></p> <p>34.2 The number of independent members of the Board of Directors must ensure the following regulations:</p> <p>34.2.1 Having at least one (01) independent Member in case the Company has five (05) Members of the Board of Directors;</p> <p>34.2.2 Having at least two (02) independent Members in case the Company has from six (06) to eight (08) Members of the Board of Directors;</p> <p>34.2.3 Having at least three (03) independent members in case the Company has nine</p>	<p>Article 34. Composition, term and number of Members of the Board of Directors</p> <p>34.2. The number of non-executive Members of the Board of Directors of the Company must ensure the following regulations:</p> <p>34.2.1. Having at least one (01) non-executive Member in case the Company has from three (03) to five (05) Members of the Board of Directors;</p> <p>34.2.2. Having at least two (02) non-executive Members in case the Company has from six (06) to eight (08) Members of the Board of Directors;</p> <p>34.2.3. Having at least three (03) non-executive Members in case the Company has nine (09) Members of the Board of Directors.</p> <p>34.3. The number of independent Members of the Board of Directors must ensure the following regulations:</p> <p>34.3.1. Having at least one (01) independent Member in case the Company has five (05) Members of the Board of Directors;</p> <p>34.3.2. Having at least two (02) independent Members in case the Company has from six (06) to eight (08) Members of the Board of Directors;</p>	<p>Amended to clearly stipulate the specific number of non-executive BOD Members to ensure unification with Clause 79, Article 1 of Decree 245/2025/NĐ-CP.</p> <p>2. <i>The number of non-executive members of the Board of Directors of a public company must ensure the following regulations:</i></p> <p>a) <i>Having at least 01 non-executive member in case the company has from 03 to 05 members of the Board of Directors;</i></p> <p>b) <i>Having at least 02 non-executive members in case the company has from 06 to 08 members of the Board of Directors;</i></p> <p>c) <i>Having at least 03 non-executive members in case the company has from 09 to 11 members of the Board of Directors.</i></p>

	(09) Members of the Board of Directors.	34.3.3. Having at least three (03) independent Members in case the Company has nine (09) Members of the Board of Directors.	
10	<p>Article 34. Composition, term and number of Members of the Board of Directors</p> <p>34.4. Information about persons nominated to the Board of Directors must be disclosed before organizing cumulative voting at the General Meeting of Shareholders. In case candidates have been identified in advance, detailed information relating to these candidates shall be published at least ten (10) days before the opening date of the General Meeting of Shareholders on the Company's website so that shareholders can find out about these candidates before voting. Information relating to candidates for the Board of Directors to be published includes at least: Full name, date of birth; Professional qualifications; Working history; Other managerial positions (including BOD positions at other companies); interests related to the Company and related parties of the Company. Candidates for the Board of Directors must commit in writing to the truthfulness and accuracy of the published</p>	<p>Article 34. Composition, term and number of Members of the Board of Directors</p> <p>34.4. Information about persons nominated to the Board of Directors must be disclosed before organizing cumulative voting at the General Meeting of Shareholders. In case candidates have been identified in advance, detailed information relating to these candidates shall be published at least ten (10) days before the opening date of the General Meeting of Shareholders on the Company's website so that shareholders can find out about these candidates before voting. Information relating to candidates for the Board of Directors to be published includes at least: Full name, date of birth; Professional qualifications; Working history; Other managerial positions (including positions on the Board of Directors/Board of Members at other companies); interests related to the Company and related parties of the Company. Candidates for the Board of Directors must commit in writing to the truthfulness and accuracy of the published personal information and must commit to performing duties honestly, carefully, and for the</p>	<p>Amended to comply with Clause 78. Article 1 of Decree 245/2025/NĐ-CP regulating the Standards and conditions for being a BOD Member.</p> <p><i>“3. A member of the Board of Directors of a public company may only simultaneously be a member of the Board of Directors or the Board of Members at a maximum of 05 other companies.”</i></p>

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	personal information and must commit to performing duties honestly, carefully, and for the highest interests of the company if elected as a member of the Board of Directors.	highest interests of the company if elected as a member of the Board of Directors.	
11	<p>Article 35. Standards and conditions for being a Member of the Board of Directors</p> <p>35.4 Must not be the Director/General Director, Member of the Board of Directors, Member of the Board of Members of another Securities Company; must not simultaneously be a Member of the Board of Directors of more than five (05) other Companies</p>	<p>Article 35. Standards and conditions for being a Member of the Board of Directors</p> <p>35.4 Must not be the Director/General Director, Member of the Board of Directors, Member of the Board of Members of another Securities Company; must not simultaneously be a Member of the Board of Directors/Board of Members of more than five (05) other Companies</p>	<p>Amended to comply with Clause 78, Article 1 of Decree 245/2025/NĐ-CP regulating the Standards and conditions for being a BOD Member.</p> <p><i>“3. A member of the Board of Directors of a public company may only simultaneously be a member of the Board of Directors or the Board of Members at a maximum of 05 other companies.”</i></p>
12	<p>Article 36. Meetings of the Board of Directors and Meeting Minutes</p> <p>36.19 Annually, the Board of Directors requires the independent Member of the Board of Directors to have an evaluation report on the activities of the Board of Directors; this evaluation report is consolidated into the Activity Report of the Board of Directors to report at the Annual General Meeting of Shareholders.</p>	<p>Article 36. Meetings of the Board of Directors and Meeting Minutes</p> <p>36.19 Annually, the Board of Directors requires each independent Member of the Board of Directors to have an evaluation report on the activities of the Board of Directors; this evaluation report is consolidated into the Activity Report of the Board of Directors to report at the Annual General Meeting of Shareholders.</p>	<p>Amending the regulation on the annual requirement for each independent BOD Member to have an evaluation report on the BOD's activities to comply with Clause 80, Article 1 of Decree 245/2025/NĐ-CP.</p>

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Article 59. Establishment of funds

59.1. Annually, the Company deducts from the profit after corporate income tax to establish the following funds::

59.1.1. Investment and development fund;

59.1.2. Bonus and welfare fund;

59.1.3. Other funds as prescribed by Law.

59.2. The establishment, management, and use of these funds are carried out in accordance with the Company's Charter, decisions of the General Meeting of Shareholders, the Board of Directors, and relevant provisions of Law.

Article 59. Establishment of funds

59.1. Annually, the Company deducts from the profit after corporate income tax to establish the following funds:

59.1.1. Investment and development fund with a deduction rate of not more than 50% of the profit after corporate income tax;

59.1.2. Bonus and welfare fund with a deduction rate as proposed by the Board of Directors;

59.1.3. Other funds as prescribed by Law and proposed by the Board of Directors.

59.2. The establishment, management, and use of these funds are carried out in accordance with the Company's Charter, decisions of the General Meeting of Shareholders, the Board of Directors, and relevant provisions of Law.

Specifically stipulating the maximum deduction rate for the Investment and development fund not exceeding 50% of the profit after corporate income tax. This amendment is developed based on references to Clause 2, Article 25 on the distribution of profit after tax of state-owned enterprises in the Law on Management and Investment of State Capital in Enterprises 2025, according to which: "The remaining profit after tax after handling the contents specified in Clause 1 of this Article shall be distributed according to the principle: deducting not more than 50% into the Investment and development fund to serve the purpose of expanding production and business investments and supplementing charter capital." In addition, in the context that BVSC's total assets are still limited and the capital increase process is slow due to both objective and subjective reasons, reducing its competitive advantage in recent times, maintaining the deduction rate for the Investment and development fund in the range of 30-50% of the annual profit after tax is necessary. This policy will help BVSC gradually accumulate stable capital sources, improve financial capacity, thereby meeting development needs

			and supporting the realization of long-term revenue and profit growth goals.
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